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|--|---|-------------------------------|
| Form PTO-1449<br><b>INFORMATION DISCLOSURE CITATION<br/>IN AN APPLICATION</b><br>(Use several sheets if necessary) | Docket Number 297912001920                | Application Number 08/999,583 |
|  | Applicant<br>Christopher E. BANAS, et al. |                               |
|  | Filing Date 12/22/1997                    | Group Art Unit 3738           |

1011 U.S. PTO  
09/858035  
85/15/01

## U.S. PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date       | Document No. | Name               | Class | Subclass | Filing Date If Appropriate |
|-------------------|----------|------------|--------------|--------------------|-------|----------|----------------------------|
| PBR               | 1.       | 10/25/1898 | 612,897      | Ellis              |       |          |                            |
|                   | 2.       | 06/23/53   | 2,642,625    | Peck               |       |          | #2                         |
|                   | 3.       | 04/03/62   | 3,027,601    | Barry              |       |          |                            |
|                   | 4.       | 10/30/62   | 3,060,517    | Fields             |       |          |                            |
|                   | 5.       | 07/20/65   | 3,196,194    | Ely, Jr. et al.    |       |          |                            |
|                   | 6.       | 10/25/66   | 3,281,511    | Goldsmith          |       |          |                            |
|                   | 7.       | 10/23/73   | 3,767,500    | Tally et al.       |       |          |                            |
|                   | 8.       | 02/14/75   | 1,505,591    | Goldfarb           |       |          |                            |
|                   | 9.       | 06/03/75   | 3,887,761    | Gore               |       |          |                            |
|                   | 10.      | 11/23/76   | 3,992,725    | Homsy              |       |          |                            |
|                   | 11.      | 12/06/77   | 4,061,517    | Dutton, III et al. |       |          |                            |
|                   | 12.      | 08/09/83   | RE.31,341    | Koizumi et al.     |       |          |                            |
|                   | 13.      | 11/22/83   | 4,416,028    | Eriksson et al.    |       |          |                            |
|                   | 14.      | 03/12/85   | 4,503,569    | Dotter             |       |          |                            |
|                   | 15.      | 04/23/85   | 4,512,338    | Balko et al.       |       |          |                            |
|                   | 16.      | 04/08/86   | 4,580,568    | Gianturco          |       |          |                            |
|                   | 17.      | 06/24/86   | 4,596,837    | Yamamoto et al.    |       |          |                            |
|                   | 18.      | 03/03/87   | 4,647,416    | Seiler, Jr. et al. |       |          |                            |
|                   | 19.      | 04/07/87   | 4,655,769    | Zachariades        |       |          |                            |
|                   | 20.      | 12/22/87   | 4,714,748    | Hoashi et al.      |       |          |                            |
|                   | 21.      | 03/15/88   | 4,731,073    | Robinson           |       |          |                            |
|                   | 22.      | 03/29/88   | 4,733,665    | Palmaz             |       |          |                            |
|                   | 23.      | 04/26/88   | 4,739,762    | Palmaz             |       |          |                            |
|                   | 24.      | 07/26/88   | 4,760,102    | Moriyama et al.    |       |          |                            |
|                   | 25.      | 10/11/88   | 4,776,337    | Palmaz             |       |          |                            |
|                   | 26.      | 03/28/89   | 4,816,339    | Tu et al.          |       |          |                            |
|                   | 27.      | 05/16/89   | 4,830,062    | Yamamoto et al.    |       |          |                            |
|                   | 28.      | 05/08/90   | 4,922,905    | Strecker           |       |          |                            |
|                   | 29.      | 09/11/90   | 4,955,899    | Della Corna et al. |       |          |                            |

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DATE CONSIDERED: 09-27-02

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IN AN APPLICATION

(Use several sheets if necessary)

Docket Number 297912001920

Application Number 08/999,583

Applicant

Christopher E. BANAS, et al.

Filing Date 12/22/1997

Group Art Unit 3738

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|----------------------|-------------|----------|--------------|-----------------|-------|----------|-------------------------------|
| PB                   | 30.         | 09/18/90 | 4,957,669    | Primm           |       |          |                               |
|                      | 31.         | 11/13/90 | 4,969,896    | Shors           |       |          |                               |
|                      | 32.         | 10/29/91 | 5,061,276    | Tu et al.       |       |          |                               |
|                      | 33.         | 12/10/91 | 5,071,609    | Tu et al.       |       |          |                               |
|                      | 34.         | 01/07/92 | 5,078,726    | Kreamer         |       |          |                               |
|                      | 35.         | 01/28/92 | 5,084,065    | Weldon et al.   |       |          |                               |
|                      | 36.         | 04/07/92 | 5,102,417    | Palmaz          |       |          |                               |
|                      | 37.         | 06/16/92 | 5,122,154    | Rhodes          |       |          |                               |
|                      | 38.         | 06/23/92 | 5,123,917    | Lee             |       |          |                               |
|                      | 39.         | 10/06/92 | 5,152,782    | Kowligi et al.  |       |          |                               |
|                      | 40.         | 10/20/92 | 5,156,620    | Pigott          |       |          |                               |
|                      | 41.         | 11/17/92 | 5,163,951    | Pinchuk et al.  |       |          |                               |
|                      | 42.         | 12/15/92 | 5,171,805    | Tatemoto et al. |       |          |                               |
|                      | 43.         | 03/23/93 | 5,195,984    | Schatz          |       |          |                               |
|                      | 44.         | 05/18/93 | 5,211,658    | Clouse          |       |          |                               |
|                      | 45.         | 06/15/93 | 5,219,355    | Parodi et al.   |       |          |                               |
|                      | 46.         | 08/10/93 | 5,234,739    | Tanaru et al.   |       |          |                               |
|                      | 47.         | 02/01/94 | 5,282,848    | Schmitt         |       |          |                               |
|                      | 48.         | 02/01/94 | 5,282,860    | Matsuno et al.  |       |          |                               |
|                      | 49.         | 05/31/94 | 5,316,023    | Palmaz et al.   |       |          |                               |
|                      | 50.         | 07/19/94 | 5,330,500    | Song            |       |          |                               |
|                      | 51.         | 08/02/94 | 5,334,201    | Cowan           |       |          |                               |
|                      | 52.         | 10/11/94 | 5,354,329    | Whalen          |       |          |                               |
|                      | 53.         | 11/01/94 | 5,360,443    | Barone at al.   |       |          |                               |
|                      | 54.         | 12/27/94 | 5,376,110    | Tu et al.       |       |          |                               |
|                      | 55.         | 01/17/95 | 5,382,261    | Palmaz          |       |          |                               |
|                      | 56.         | 01/24/95 | 5,383,926    | Lock et al.     |       |          |                               |
|                      | 57.         | 01/31/95 | 5,385,580    | Schmitt         |       |          |                               |
|                      | 58.         | 02/07/95 | 5,387,235    | Chuter          |       |          |                               |
| ↓                    | 59.         | 02/07/95 | 5,387,236    | Noishiki et al. |       |          |                               |

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|  | Filing Date 12/22/1997                        | Group Art Unit 3738           |

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|-------------------|----------|----------|--------------|----------------------|-------|----------|----------------------------|
| PBP               | 60.      | 02/14/95 | 5,389,106    | Tower                |       |          |                            |
|                   | 61.      | 04/11/95 | 5,405,377    | Cragg                |       |          |                            |
|                   | 62.      | 07/04/95 | 5,429,869    | McGregor et al.      |       |          |                            |
|                   | 63.      | 07/18/95 | 5,433,996    | Kranzler et al.      |       |          |                            |
|                   | 64.      | 09/12/95 | 5,449,373    | Pinchasik et al.     |       |          |                            |
|                   | 65.      | 11/07/95 | 5,464,438    | Menaker              |       |          |                            |
|                   | 66.      | 11/07/95 | 5,464,440    | Johansson            |       |          |                            |
|                   | 67.      | 11/07/95 | 5,464,449    | Ryan et al.          |       |          |                            |
|                   | 68.      | 03/06/96 | 5,489,295    | Piplani et al.       |       |          |                            |
|                   | 69.      | 03/05/96 | 5,496,364    | Schmitt              |       |          |                            |
|                   | 70.      | 04/16/96 | 5,507,771    | Gianturco            |       |          |                            |
|                   | 71.      | 06/04/96 | 5,522,883    | Slater et al.        |       |          |                            |
|                   | 72.      | 06/18/96 | 5,527,353    | Schmitt              |       |          |                            |
|                   | 73.      | 06/18/96 | 5,527,355    | Ahn                  |       |          |                            |
|                   | 74.      | 07/30/96 | 5,540,712    | Kleshinski et al.    |       |          |                            |
|                   | 75.      | 07/30/96 | 5,540,713    | Schnepp-Pesch et al. |       |          |                            |
|                   | 76.      | 09/17/96 | 5,556,414    | Turi                 |       |          |                            |
|                   | 77.      | 11/05/96 | 5,571,170    | Palmaz et al.        |       |          |                            |
|                   | 78.      | 11/05/96 | 5,571,171    | Barone et al.        |       |          |                            |
|                   | 79.      | 11/05/96 | 5,571,173    | Parodi               |       |          |                            |
|                   | 80.      | 01/07/97 | 5,591,197    | Orth et al.          |       |          |                            |
|                   | 81.      | 01/07/97 | 5,591,223    | Lock et al.          |       |          |                            |
|                   | 82.      | 01/07/97 | 5,591,224    | Schwartz et al.      |       |          |                            |
|                   | 83.      | 01/07/97 | 5,591,228    | Edoga                |       |          |                            |
| V                 | 84.      | 01/07/97 | 5,591,229    | Parodi               |       |          |                            |

## FOREIGN PATENT DOCUMENTS

| Examiner Initials | Ref. No. | Date     | Document No. | Country | Class | Subclass | Translation YES NO |
|-------------------|----------|----------|--------------|---------|-------|----------|--------------------|
| PBP               | 83.      | 05/13/87 | 0 221 570 A2 | EPO     |       |          |                    |

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|----------------------|-------------|----------|----------------|---------|-------|----------|-------------|----|
|                      |             |          |                |         |       |          | YES         | NO |
| PB                   | 84.         | 10/04/89 | 0 335 341 A1   | EPO     |       |          |             |    |
|                      | 85.         | 12/13/90 | DE 39 18736 A1 | Germany |       |          |             |    |
|                      | 86.         | 12/18/91 | 0 461 791 A1   | EPO     |       |          |             |    |
|                      | 87.         | 07/14/93 | 0 551 179 A1   | EPO     |       |          |             |    |
|                      | 88.         | 02/23/95 | WO 95/05132    | PCT     |       |          |             |    |
|                      | 89.         | 02/23/95 | WO 95/05277    | PCT     |       |          |             |    |
|                      | 90.         | 02/23/95 | WO 95/05555    | PCT     |       |          |             |    |
|                      | 91.         | 04/05/95 | 0 646 365 A1   | EPO     |       |          |             |    |
|                      | 92.         | 04/19/95 | 0 648 869 A1   | EPO     |       |          |             |    |
|                      | 93.         | 06/07/95 | 0 656 196 A1   | EPO     |       |          |             |    |
|                      | 94.         | 07/12/95 | 0 662 307 A1   | EPO     |       |          |             |    |
|                      | 95.         | 08/16/95 | 0 667 132 A2   | EPO     |       |          |             |    |
|                      | 96.         | 10/24/96 | WO 96/33066    | PCT     |       |          |             |    |
|                      | 97.         | 07/17/96 | PCT/US95/11817 | PCT     |       |          |             |    |

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| PB                   | 98.         | ASSOCIATION FOR THE ADVANCEMENT OF MEDICAL INSTRUMENTATION (1994). "Cardiovascular Implants - Vascular Prostheses," <i>ANSI/AAMI VP 20</i> , pp. i-vi and 1-31.   |
|                      | 99.         | Chuter, T. (1994). "Bifurcated endovascular graft insertion for abdominal aortic aneurysm," <u>Vascular and Endovascular Surgical Techniques</u> . 3rd Edition, R. M. Greenhalgh ed., W. B. Saunders Company Ltd.: Philadelphia, pp. 92-99.   |
|                      | 100.        | Cragg, A. and Dake, M. (June 1993). "Percutaneous Femoropopliteal Graft Placement," <i>Interventional Radiology</i> 187(3): 643-648.  |
|                      | 101.        | Dietrich, E. and Papazoglou, K. (1995). Endoluminal Grafting for Aneurysmal and Occlusive Disease in the Superficial Femoral Artery: Early Experience," <i>J. Endovase Surgery</i> , 2:225-239.   |
|                      | 102.        | Dorros et al. (1995). "Closure of a Popliteal Arteriovenous Fistula Using an Autologous Vein-Covered Palmaz Stent," <i>J Endovasc Surgery</i> , 2:177-181.  |
|                      | 103.        | Heuser et al. (1995). "Endoluminal Grafting for Percutaneous Aneurysm Exclusion in an Aortocoronary Saphenous Vein Graft: The First Clinical Experience," <i>J Endovasc Surgery</i> , 2:81-88.  |
|                      | 104.        | Hu, T. (1982). "Characterization of the crystallinity of polytetrafluoroethylene by X-ray and IR spectroscopy, differential scanning calorimetry, viscoelastic spectroscopy and the use of a density gradient tube," <i>Wear</i> , 82:367-376 |

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(including author, title, Date, Pertinent Pages, Etc.)

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|                   | 106.     | Khanna et al. (1988). "A New Differential Scanning Calorimetry based Approach for the Estimation of Thermal Conductivity of Polymer Solids and Melts," <i>Polymer Engineering and Science</i> , 28(16):1034-1041.   |
|                   | 107.     | Lau et al. (1984). "Glass Transition of Poly(tetrafluoroethylene)," <i>Macromolecules</i> 17:1102-1104.   |
|                   | 108.     | Lau et al. (1984). "The Thermodynamic Properties of Polytetrafluoroethylene," <i>Journal of Polymer Science: Polymer Physics Edition</i> , 22:379-405.  |
|                   | 109.     | Marston et al. (1995). "Transbrachial Endovascular Exclusion of an Axillary Artery Pseudoaneurysm with PTFE-Covered Stents," <i>J. Endovasc Surgery</i> , 2:172-176.  |
|                   | 110.     | Martin, M.L. and Veith, F. J. (1994). "Endoluminal stented graft aorto-bifemoral reconstruction," <i>Vascular and Endovascular Surgical Techniques</i> . 3rd Edition, R. M. Greenhalgh ed., W. B. Saunders Company Ltd.: Philadelphia, pp. 100-104.                           |
|                   | 111.     | Martin et al. (1995). "Transluminally placed endovascular stented graft repair for arterial trauma," <i>The Journal of Vascular Surgery on Compact Disc</i> , 18(6): 11 unnumbered pages.   |
|                   | 112.     | May et al. (1995). "Transluminally placed of a prosthetic graft-stent device for treatment of subclavian artery aneurysm," <i>Journal of Vascular Surgery</i> , 18(6):10556-10559.  |
|                   | 113.     | Moore, W. (1994). "Transfemoral endovascular repair of abdominal aortic aneurysm using the endovascular graft system device," <i>Vascular and Endovascular Surgical Techniques</i> . 3rd Edition, R. M. Greenhalgh ed., W. B. Saunders Company Ltd.: Philadelphia, pp. 78-91. |
|                   | 114.     | Palmaz et al. "Uses of balloon expandable stents in combination with PTFE," pp. 36-42.  |
|                   | 115.     | Palmaz et al. (1995). "Use of Stents Covered With Polytetrafluoroethylene in Experimental Abdominal Aortic Aneurysm," <i>Journal of Vascular and Interventional Radiology</i> , 6(6): 879-885.  |
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|                   | 117.     | Papazoglou et al. (1995). "International Congress VIII on Endovascular Interventions" <i>J Endovasc Surg</i> , 2:89-129.  |
|                   | 118.     | Parodi, J. (1991). "Transfemoral intraluminal graft implantation for abdominal aortic aneurysms," <i>Annals of Vascular Surgery</i> , 5(6):491-499.   |
|                   | 119.     | Parodi, J. (1994). "Transfemoral intraluminal graft implantation for abdominal aortic aneurysms," <i>Vascular and Endovascular Surgical Techniques</i> . 3rd Edition, R. M. Greenhalgh ed., W. B. Saunders Company Ltd.: Philadelphia, pp. 71-77.                             |
|                   | 120.     | Shapiro, M. and Levin, D. (June 1993) "Percutaneous Femoropopliteal Graft Placement: Is This the Next Step?" <i>Radiology</i> 187(3): 618-619.  |

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(including author, title, Date, Pertinent Pages, Etc.)

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|                      | 122.        | Villani, V. (1990). "A Study on the Thermal Behaviour and Structural Characteristics of Polytetrafluoroethylene," <i>Thermochimica Acta</i> , 162:189-193.      |

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| FORM PTO-1449 (MODIFIED)  | ATTY. DOCKET NO.: 35221.135                | SERIAL NO.: 08/999,583 |
| LIST OF PATENTS AND PUBLICATIONS<br>FOR APPLICANTS INFORMATION<br>DISCLOSURE STATEMENT<br><br>(USE SEVERAL SHEETS IF NECESSARY) | APPLICANT(S): Christopher E. BANAS, et al. |                        |
|   | FILING DATE:<br>December 22, 1997          | GROUP: 3731            |

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 05/15/01

Reference Designation

U.S. Patents

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|---------------------|-----------------|----------|-----------------------|-------|---|
| PBP                 | AA 4,159,370    | 06/26/79 | S. Koizumi, et al.    |       |   |
|                     | AB 4,820,298    | 04/11/89 | E. Leveen, et al.     |       |   |
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|                     | AG 5,411,476    | 05/02/95 | R. Abrams, et al.     |       |   |
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| AN WO 96/22745  | 08/01/96 | PCT     |       |   |
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| AP WO 96/37165  | 11/28/96 | OCT     |       |   |
| AQ WO 96/40000  | 12/19/96 | PCT     |       |   |
| AR WO 97/21401  | 06/19/97 | PCT     |       |   |
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OTHER ART (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

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|                     | AC 5,591,222    | 01/07/97 | T. Susawa, et al.  |       |   |
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| AS                      |          |         |       |   |

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|                     | AB 1,505,591    | 2/14/75    | Goldfarb            | <del>18</del>        | 10/14/74                                  |
|                     | AC 2,642,625    | 6/23/53    | Peck                | <del>18</del>        | 6/23/50                                   |
|                     | AD 3,027,601    | 4/3/62     | Barry               | <del>18</del>        | 7/22/57                                   |
|                     | AE 3,060,517    | 10/30/62   | Fields              | <del>18</del>        | 8/18/59                                   |
|                     | AF 3,196,194    | 7/20/65    | Ely, Jr., et al.    | <del>264</del>       | 6/4/64                                    |
|                     | AG 3,281,511    | 10/25/66   | Goldsmith           | <del>264</del>       | 5/15/64                                   |
|                     | AH 3,767,500    | 10/23/73   | Tally, et al.       | <del>156</del>       | 12/28/71                                  |
|                     | AI 3,887,761    | 6/3/75     | Gore                | <del>174</del>       | 2/20/73                                   |
|                     | AJ 3,992,725    | 11/23/76   | Homsy               | <del>3</del>         | 10/17/74                                  |
|                     | AK 4,061,517    | 12/6/77    | Dutton, III, et al. | <del>156</del>       | 5/17/76                                   |
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| AU "Physical Properties of<br>Polytetrafluoroethylene Bypass<br>Material After Balloon Dilation <sup>1</sup> "           | <u>Journal of Vascular and Interventional Radiology</u><br>Julio C. Palmaz, M.D., et al., Vol. 7, No. 5 | Sept.-Oct. 1996<br>Pages 657-663 |
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|                     | AB 4,512,338    | 4/23/85  | Balko, et al.       | <del>128</del> | 6/25/83                                   |
|                     | AC 4,580,568    | 4/8/86   | Gianturco           | <del>128</del> | 10/1/84                                   |
|                     | AD 4,596,837    | 6/24/86  | Yamamoto, et al.    | <del>521</del> | 8/21/84                                   |
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|                     | AG 4,714,748    | 12/22/87 | Hoashi, et al.      | <del>526</del> | 4/29/86                                   |
|                     | AH 4,731,073    | 3/15/88  | Robinson            | <del>623</del> | 5/21/84                                   |
|                     | AI 4,733,665    | 3/29/88  | Palmaz              | <del>128</del> | 11/7/85                                   |
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| AP WO 95/95132             | 2/23/95  | PCT     | <del>A61F 2/06</del> | 5/4/94                                    |
| AQ WO 95/05277             | 2/23/95  | PCT     | <del>6</del>         | 5/4/94                                    |
| AR WO 95/05555             | 2/23/95  | PCT     | <del>6</del>         | 8/18/94                                   |
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|                          | AB 4,830,062    | 5/16/89  | Yamamoto, et al.    | <del>138</del> | 5/28/87                                   |
|                          | AC 4,922,905    | 5/8/90   | Strecker            | <del>606</del> | 5/28/87                                   |
|                          | AD 4,955,899    | 9/11/90  | Della Corna, et al. | <del>623</del> | 5/26/89                                   |
|                          | AE 4,957,669    | 9/18/90  | Primm               | <del>264</del> | 4/6/89                                    |
|                          | AF 4,969,896    | 11/13/90 | Shors               | <del>623</del> | 2/1/89                                    |
|                          | AG 5,061,276    | 10/29/91 | Tu, et al.          | <del>623</del> | 12/19/88                                  |
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|                          | AI 5,078,726    | 1/7/92   | Kreamer             | <del>606</del> | 4/9/90                                    |
|                          | AJ 5,084,065    | 1/28/92  | Weldon, et al.      | <del>623</del> | 7/10/89                                   |
|                          | AK 5,102,417    | 4/7/92   | Palmaz              | <del>606</del> | 3/28/88                                   |
|                          | AL 5,122,154    | 6/16/92  | Rhodes              | <del>606</del> | 8/15/90                                   |
|                          | AM 5,123,917    | 6/23/92  | Lee                 | <del>623</del> | 4/27/90                                   |
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|                          | AC 5,163,951    | 11/17/92 | Pinchuk, et al.  | <del>623</del> | 3/27/92                                   |
|                          | AD 5,171,805    | 12/15/92 | Tatemoto, et al. | <del>526</del> | 4/3/92                                    |
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|                          | AF 5,211,658    | 5/18/93  | Clouse           | <del>623</del> | 11/5/91                                   |
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|                          | AD 5,382,261    | 1/17/95  | Palmaz           | <del>606</del> | 9/1/92                                    |
|                          | AE 5,383,926    | 1/24/95  | Lock, et al.     | <del>623</del> | 11/23/92                                  |
|                          | AF 5,385,580    | 1/31/95  | Schmitt          | <del>623</del> | 9/21/92                                   |
|                          | AG 5,387,235    | 2/7/95   | Chuter           | <del>623</del> | 10/21/92                                  |
|                          | AH 5,387,236    | 2/7/95   | Noishiki, et al. | <del>623</del> | 12/10/92                                  |
|                          | AI 5,389,106    | 2/14/95  | Tower            | <del>606</del> | 10/29/93                                  |
|                          | AJ 5,405,377    | 4/11/95  | Cragg            | <del>623</del> | 2/21/92                                   |
|                          | AK 5,429,869    | 7/4/95   | McGregor, et al. | <del>428</del> | 2/26/93                                   |
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|                     | AB 5,464,440    | 11/7/95 | Johansson             | 623   | 11/13/92                                  |
|                     | AC 5,464,449    | 11/7/95 | Ryan, et al.          | 623   | 7/8/93                                    |
|                     | AD 5,496,364    | 3/5/96  | Schmitt               | 623   | 1/31/94                                   |
|                     | AE 5,507,771    | 4/16/96 | Gianturco             | 606   | 4/24/95                                   |
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| FORM PTO-1449 (MODIFIED)  | ATTY. DOCKET NO.: 35221.135                | SERIAL NO. : 08/999,583 |
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Reference Designation

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